

BAE Systems  
AML-as-a-Service

## Your obligations

Financial institutions need to comply with an increasingly complex and evolving regulatory landscape and deliver consistent group compliance standards whilst being flexible when these requirements change. The tightening of Anti-Money Laundering (AML) and Counter Terrorism Financing rules by AUSTRAC places further demands on reporting entities to identify and verify customer information and implement solutions to monitor customer risk on an ongoing basis.

While the demand for specialised AML compliance software applications continues to grow, many institutions are looking for ways to achieve measurable results but with reduced upfront expense and ongoing management costs.

With BAE Systems AML-as-a-Service solution, we can help you become compliant with the AML obligations with lower cost disruption to your business.

## BAE Systems AML-as-a-Service solution **overview**

BAE Systems NetReveal® OnDemand (NROD) solution for AML is a holistic hosted "as-a-Service" solution that can reduce IT spend, minimise system maintenance and make the cost of AML compliance predictable and manageable.

This unique AML service includes all things from preparing and ingesting data from varying sources, through to the hosting, configuration, tuning and management of the AML system, allowing your organisation to effectively outsource the continuous management of the underlying technology.

The NROD solution for AML is highly flexible and scalable, allowing for integration of data in batch, real-time, or a combination of both, dependent upon an organisation's requirements and need.

The end-to-end solution enables your organisation to implement:

- Customer Due Diligence (information collection and risk rating)
- PEP and Sanction Screening
- Transaction Monitoring
- Ongoing Customer Due Diligence requirements, and
- Suspicious matter reporting

The NROD solution is offered as a managed service by our strong and predominantly locally based Services team of compliance professionals. As testament to this, NetReveal was recently voted the Best Compliance Product at the Operational Risk & Regulation Awards.

## Why choose **AML-as-a-Service?**

- Get a world class top tier AML/CTF solution, managed and maintained by experts at lower cost
- Remove the need to build and retain highly specialised skillsets in-house (e.g. tuning analytics, support analysts)
- Reduce operational environment complexity and implementation time
- Reduce vendor management complexity/cost
- Single point of contact and simplified support
- Continued innovation and platform investment (e.g. no need to plan upgrades)

BAE Systems can **help** you become **compliant** with AML obligations with lower cost disruption to your **business.**

A full service AML SaaS offering: Meet all of your AML compliance requirements -  
Customer identification, monitoring and regularly reporting

#### Customer Identification (Customer Due Diligence)

- Risk rate your customers
- PEP & Sanction screen your customers
- Perform enhanced customer due diligence workflow
- Re-screen high risk customers

#### Customer Monitoring (AML Transaction Monitoring)

- Profile your customers' transactions (AUSTRAC recommended monitoring scenarios provided)
- Review suspicious activity via alert investigation screens and workflow
- Investigate cases
- Run MIS reports

#### Regulatory Reporting (SMR)

- Generate Suspicious Matter reports for AUSTRAC (xml)
- Dedicated SMR screens and workflow
- 4 eyes review of SMR before submission

BAE Systems maintain an AML compliant solution for you:

- Sanction and PEP list updates
- Scenario updates in line with regulatory requirements
- Software upgrades

BAE Systems also provides comprehensive support services:

- Detection tuning services, AML annual report, training

## Benefits of working with **BAE Systems**

Working with BAE Systems offers a number of other significant benefits including:

- **Stability** - BAE Systems Applied Intelligence is a business division of BAE Systems Plc, which is a publicly listed company with a sizeable financial order book, and has a proven track record of successful compliance and fraud project delivery.
- **Expertise** - Local knowledge and people experienced in implementing compliance solutions in Australia. No other AML compliance vendor has over 50 locally Melbourne and Sydney based Financial Crime delivery staff like BAE Systems do.
- **Commitment** - BAE Systems' proven, collaborative client engagement model has resulted in the development of long term strategic partnering relationships with many key clients.
- **Delivery at Scale** - BAE Systems has proven capability and track record of delivering against complex, large scale projects.
- **Security** - BAE Systems is a provider of services to Government at the highest security levels and has comprehensive policies in place.

## Conclusion

By choosing to engage with us, you will benefit from the knowledge gained by BAE Systems from implementing AML solutions in a number of Australian banks as well as having a team of local professionals to implement Customer Due Diligence, PEP & Sanctions Screening and Transaction Monitoring capabilities to meet your AML/CTF obligations.

## We are BAE Systems

We help nations, governments and businesses around the world defend themselves against cyber crime, reduce their risk in the connected world, comply with regulation, and transform their operations.

We do this using our unique set of solutions, systems, experience and processes - often collecting and analysing huge volumes of data. These, combined with our cyber special forces - some of the most skilled people in the world, enable us to defend against cyber attacks, fraud and financial crime, enable intelligence-led policing and solve complex data problems.

We employ over 4,000 people across 18 countries in the Americas, APAC, UK and EMEA.

### BAE Systems

Level 26, North Tower  
459 Collins Street  
Melbourne VIC 3000  
Australia  
Tel: +61 (3) 8623 4300  
General Enquiries: +61 (3) 1300 027 001  
Sales Enquiries: +61 (3) 8623 4400

### BAE Systems

Level 12  
20 Bridge Street  
Sydney NSW 2000  
Australia  
Tel: +61 (2) 9240 4600  
General Enquiries: +61 (2) 1300 027 001  
Sales Enquiries: +61 (3) 8623 4400

### BAE Systems

1 Raffles Place #42-01, Tower 1  
Singapore 048616  
Singapore  
General Enquiries: +65 6499 5000  
Sales Enquiries: +65 6714 2100

### BAE Systems

Level 29 Menara Binjai  
2 Jalan Binjai  
Kuala Lumpur 50450  
Malaysia  
General Enquiries: +60 (3) 2191 3000  
Sales Enquiries: +60 (3) 2191 3400

BAE Systems, Surrey Research Park, Guildford  
Surrey, GU2 7RQ, UK

E: [learn@baesystems.com](mailto:learn@baesystems.com) | W: [baesystems.com/businessdefence](http://baesystems.com/businessdefence)

 [linkedin.com/company/baesystemsai](https://www.linkedin.com/company/baesystemsai)

 [twitter.com/baesystems\\_ai](https://twitter.com/baesystems_ai)

**Victim of a cyber attack? Contact our emergency response team on:**

US: 1 (800) 417-2155  
UK: 0808 168 6647  
Australia: 1800 825 411  
International: +44 1483 817491  
E: [cyberresponse@baesystems.com](mailto:cyberresponse@baesystems.com)



Certified Service



Cyber Incident Response



Copyright © BAE Systems plc 2016. All rights reserved.

BAE SYSTEMS, the BAE SYSTEMS Logo and the product names referenced herein are trademarks of BAE Systems plc. BAE Systems Applied Intelligence Limited registered in England & Wales (No.1337451) with its registered office at Surrey Research Park, Guildford, England, GU2 7RQ. No part of this document may be copied, reproduced, adapted or redistributed in any form or by any means without the express prior written consent of BAE Systems Applied Intelligence.