



BAE SYSTEMS

INSPIRED WORK

Anti-money laundering
and compliance **solutions**

■ The regulatory landscape



Preventing money laundering and terrorist financing is high on the agenda of governments and global financial regulatory bodies. Over recent years compliance legislation has become more complex and wide-ranging.

Failure to comply puts financial institutions at significant risk – evidenced by the multi billion-dollar enforcement actions against organisations that failed to meet their regulatory obligations. It is vital that financial institutions stay ahead of regulatory requirements with robust and proven Anti-Money Laundering (AML) and compliance technology solutions.

Financial institutions need **robust and proven** AML and compliance technology solutions.



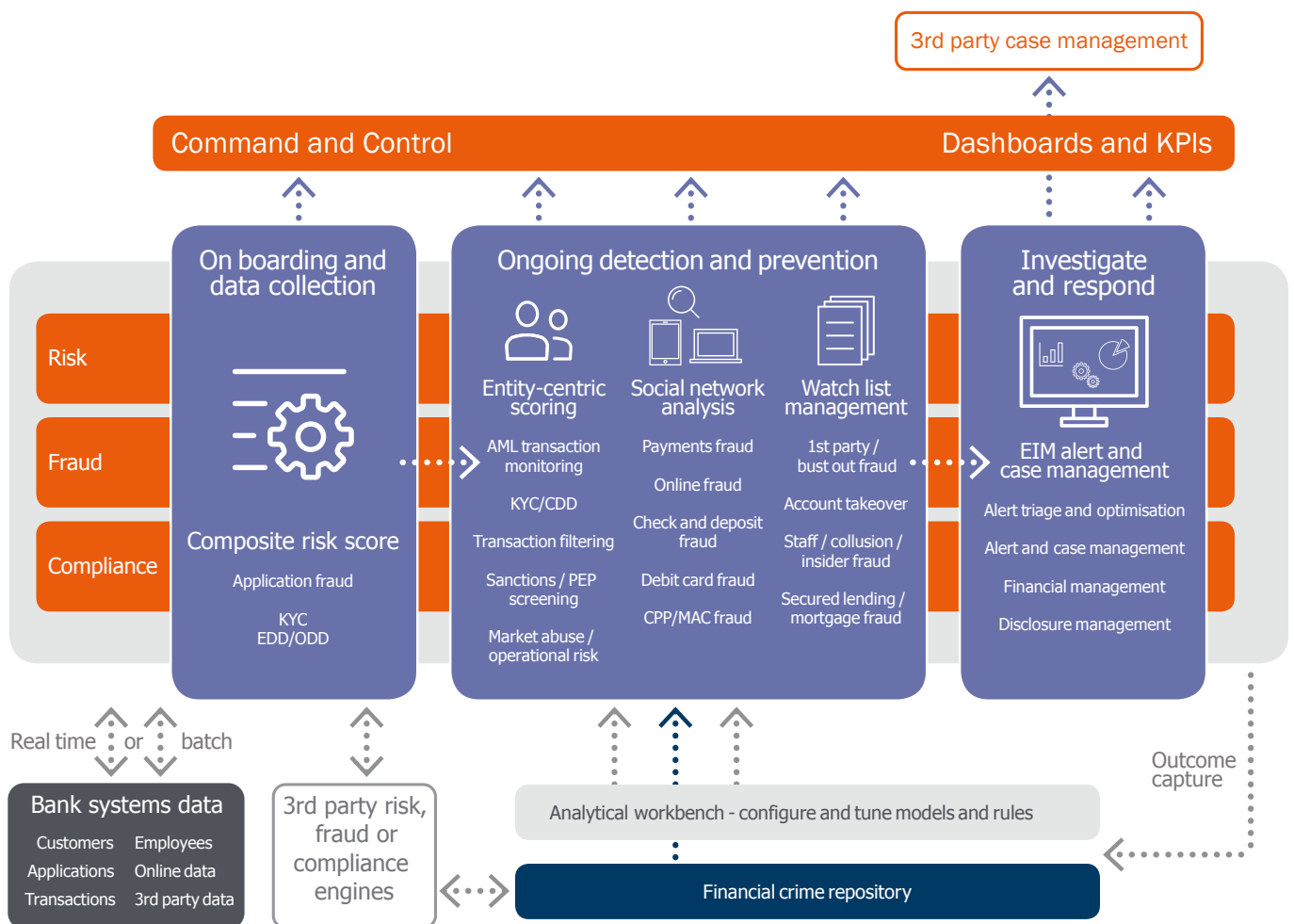
Netreveal Solution suite

The BAE Systems NetReveal Anti-Money Laundering and Compliance suite manages all aspects of the customer compliance journey, including on boarding, detection and investigation.

By providing investigators with a unified 360 degree view of the customer, the suite can enhance both the efficiency and the effectiveness of a financial institution's compliance operations.

Efficiency is delivered by rapid access to all information; while a complete view of model risks associated with customers, products and geographies, will deliver effectiveness.

The end-to-end solution suite is comprised of integrated modules that can be implemented individually or as a group. This flexibility enables a financial institution to maintain overall regulatory compliance, while placing additional focus on any specific aspects of their AML and compliance programme.



The NetReveal risk, fraud and compliance solution suite



AML transaction monitoring

The NetReveal AML Transaction Monitoring solution is designed to form the core of an enterprise-wide AML programme. It includes AML typologies aligned to the requirements and guidance of local and international authorities including (but not limited to): FATF, OCC, JMLSG, FCA, AUSTRAC, DFAT, OSFI, the Wolfsberg Group.

AML typologies can be quickly adapted to meet new requirements. This 'white box' approach also allows users to develop a deep understanding of each detection scenario, an important requirement for meeting internal and external audit obligations.

Sanctions and PEP screening

The NetReveal solution for Sanctions and PEP Screening is an adaptable enterprise-wide solution for identifying sanctioned persons and entities, Politically Exposed Persons (PEPs) and their associates.

The solution offers a wide range of integrated and scalable watch list monitoring and filtering capabilities to screen all transactions and customer types. It operates across the whole lifecycle: during the on boarding process, periodically during the customer lifespan and following significant changes to their details.

With functionality including real-time and on-demand, large and small batch monitoring, together with a cutting edge name and entity resolution engine, the solution meets the most demanding regulatory requirements.



Know your customer

Financial institutions must provide evidence of the processes and solutions in place to meet evolving due diligence requirements. The NetReveal Customer Due Diligence (CDD) and Know Your Customer (KYC) solution provides simple and accurate compliance.

The solution provides end-to-end management of CDD/KYC obligations, from initial account opening and on boarding to enhanced customer due diligence. Risk levels associated with any customer type can be accommodated, including retail, corporate, wealth and beneficial owners. And as the financial institution's business changes and grows, e.g. by operating in new countries or introducing new products, the solution can be easily adapted to new risk elements.

Transaction filtering

Financial institutions must not process any payment transaction that involves a sanctioned person or entity. And when such a transaction is suspected, they must investigate and act.

The NetReveal Transaction and Payment Filtering solution provides functionality to facilitate regulatory reporting, transaction interdiction and asset freezing. It is widely used for screening transactions in a variety of formats including SWIFT MT, SWIFT MX, NACHA ACH and ISO20022.

Non-domestic tax (FATCA and OECD CRS)

The NetReveal Non-Domestic Tax solution provides financial institutions, which typically have operations in many countries, with a clear approach for meeting FATCA and OECD CRS Due Diligence and Reporting requirements and national law in each jurisdiction.

The extensive range of capabilities includes account holder management, investigation management, aggregation, classification and reporting.

The solution is designed to cover the reporting requirements of the FATCA Final Regulations, IGAs Model 1, Model 2 and OECD CRS, with options to choose the transitional reporting requirements where applicable.

The **Netreveal** Non-Domestic Tax solution provides financial institutions with a clear approach for **meeting** FATCA and OECD CRS **Due Diligence**

Key **benefits**

Groupwide compliance

The AML solution suite is more than the sum of its parts. It is an integrated suite that supports data exchange, a common operating model and other functionality critical to enabling a comprehensive, coordinated AML and compliance programme across business lines and borders.

Packaged efficiency and effectiveness

We continually invest in both formal legal review of regulation and core analytics capabilities to achieve effective and efficient detection. By actively monitoring regulatory guidance and standards around the world, we help our customers stay in-step with local, national and international regulatory standards.

Behavioural analysis

The AML solution suite is more than the sum of its parts. It is an integrated suite that supports data exchange, a common operating model and other functionality critical to enabling a comprehensive, coordinated AML and compliance programme across business lines and borders.

Social network view

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We are BAE Systems

BAE We help nations, governments and businesses around the world defend themselves against cyber crime, reduce their risk in the connected world, comply with regulation, and transform their operations.

We do this using our unique set of solutions, systems, experience and processes - often collecting and analysing huge volumes of data. These, combined with our cyber special forces - some of the most skilled people in the world, enable us to defend against cyber attacks, fraud and financial crime, enable intelligence-led policing and solve complex data problems.

We employ over 4,000 people across 18 countries in the Americas, APAC, UK and EMEA.

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